

NASA Aviation Safety Reporting System (ASRS)

CFI Special Emphasis Quarterly Webinar

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AVIATION SAFETY REPORTING SYSTEM

The Flight Plan for today...

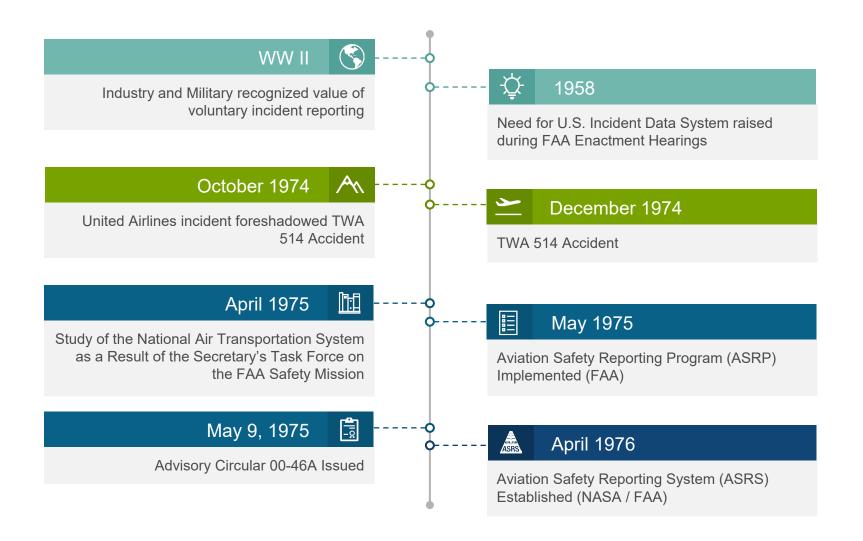
- What is ASRS?
- ASRS Reporting
 - Who, What, When, Where, and Why
- CFI Tips for using ASRS data in Flight Training
- Our ask of you







ASRS Background







NASA Aviation Safety Reporting System

- NASA ASRS is a confidential, voluntary, non-punitive reporting system that receives safety reports from Pilots, Air Traffic Controllers, Dispatchers, Cabin Crew, Ground Ops, Maintenance Technicians, and UAS Operators.
- ASRS welcomes reports describing close-calls, hazards, violations, and safety-related incidents.
- With <u>47 years</u> of confidential safety reporting, ASRS has received more than 2,000,000 reports so far (~100,000 reports received annually prepandemic).
- The ASRS Staff is composed of highly experienced pilots, ATC, and mechanics with over 600 cumulative years of aviation expertise covering the full spectrum of aviation activity. Analyst cumulative flight time exceeds 175,000 hours in over 90 different aircraft.

ASRS Principles

The success of ASRS depends on active participation from the aviation community

1. VOLUNTARY

Aviation personnel voluntarily submit reports concerning events related to safety for the purpose of system alerting, understanding and learning

4. INDEPENDENT

NASA serves as the independent honest-broker; separate from employer / certificate holder / regulator



2. CONFIDENTIAL

Protection of identity is provided by NASA through de-identification of persons, companies, and any other identifying information

3. NON-PUNITIVE

FAA will not use, nor will NASA provide, any qualifying report submitted to ASRS (or information derived therein) for use in disciplinary or other adverse action.

(14 CFR 91.25 & AC 00-46F)





FAA Advisory Circular 00-46F



Advisory Circular

ubject: Aviation Safety Reporting Program

e: 4/2/21 AC No: 0 ated by: AFS-200 Change:

Use Restrictions. The FAA will not use any reports submitted to NASA under the ASRS (or information derived therefrom) in any enforcement action...except information concerning criminal offenses or accidents which are excluded.

Confidentiality. The FAA will not seek, and NASA <u>will not release or make available</u> to the FAA, any report filed with NASA under the ASRS or any information that might reveal the identify of any party involved in an occurrence or incident reported under the ASRS.

Waiver of Imposition of Sanction. The FAA considers the filing of a report with NASA ... to be indicative of a **constructive attitude** towards safety. Such an attitude will tend to prevent future violations. Accordingly, although a finding of violation may be made, neither a civil penalty nor certificate suspension will be imposed if ...

- Inadvertent and not deliberate
- Did not involve a criminal offense, accident or action under 49 U.S.C § 44709, which discloses a lack of qualification or competency
- Reported within 10 days of the violation, or first aware of the violation







Who? What? Why? When? How?

Who can report to ASRS?

- Any person who is aware of a safety concern in the National Airspace System
- Any person involved in or observing a safety incident, including:
 - Pilots
 - Cabin Crews
 - Dispatchers
 - Maintenance Technicians (A&Ps), Inspectors
 - Ground Operations Crews
 - Unmanned Aircraft Systems (UAS) / Unmanned Aerial Vehicles (UAV) Operators

Participants in all sectors of Aviation are encouraged to report:

- General Aviation
- Business / Corporate including Aerial Pipeline Inspection & Patrol Services
- Participants in Airline and Air Taxi ASAP programs
- Repair Stations, Company employees / contractors, etc.





What should I report?

- Reports submitted to ASRS may describe unsafe occurrences, hazardous situations, as well
 as violations (as long as the violation was inadvertent and not deliberate, and did not involve a
 criminal offense or accident)
- Tell us What happened and Why you think it happened

Sample Report Topics:

- Near-mid air collisions,
- Airspace violations,
- Runway incursions
- Confusing airport signage
- Charting / navigation issues
- Confusing phraseology
- Aircraft / Equipment failures
- Automation Failures / Over-reliance
- Training

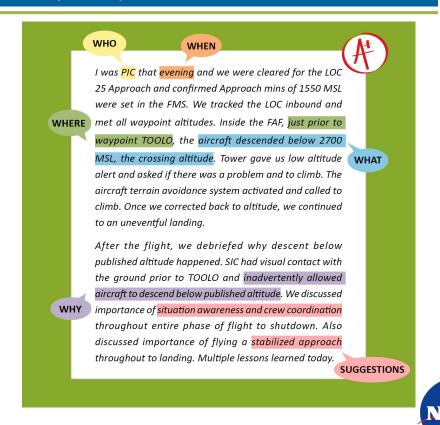




Who? What? When? Why? How? *Tips for Excellent Reporting*

- •Providing detailed and complete reports is vital to making the National Airspace safer. To ensure you are submitting a report that would result in a safety improvement, ask yourself:
- Who was involved in the event?
 - Were you the Pilot in Command?
 - What was the pilot's function at the time?
- What was the type of event / situation?
 - Provide as much detail as possible about the close-call or event.
 - What was the outcome?
- Where was the aircraft?
 - What was the waypoint and altitude?
 - What airspace were you operating in?
- When did it happen?
 - Did the event happen at night?
 - Was it at the end of a long work shift?
- Why do you think it happened?
 - What were the contributing factors that lead up to the event?
 - How could this kind of event be prevented in the future?

Example Report with Excellent Information



When should I report?

- Report whenever the aviation industry could benefit from your experience -(there is no limit to the number of reports you can submit)
- For protections from civil penalty and certificate violation, you must report
 within 10 days after the violation (or date you became aware or should have
 been aware of the violation)
- While you may only exercise your waiver once in a five-year period, you
 may report as many times as you wish



Why should I report to ASRS?

- Share your stories and lessons learned so others don't experience the same problem or make the same mistake
- Contribute to aviation safety data your report could be the one that is pivotal to action being taken to remedy a problem in the NAS
- Help ASRS identify emerging issues so we can be proactive in sharing that information
- Your insights contribute to the ever evolving and critical field of human factors safety research
- The FAA considers the filing of a report with NASA concerning an incident or occurrence involving a violation to be indicative of a constructive attitude. Such an attitude will tend to prevent future violations.



How do I report to ASRS?

- Report Directly to ASRS at: https://asrs.arc.nasa.gov
- Or, download and print and mail to NASA ASRS at the address provided on the form





ASRS Report Form

- The ASRS report form includes three main sections:
 - An Identification Strip (ID Strip)
 - Event and Operational Information with several sub sections:
 - Reporter Involvement
 - Flight Experience
 - Weather and Airspace
 - Equipment / Location / Near Miss Conflicts
 - Contributing Factors
 - Narrative box tell us your story!
 - Who, When, What, Where, Why



Tips for Writing Your Story

Example: Insufficient information



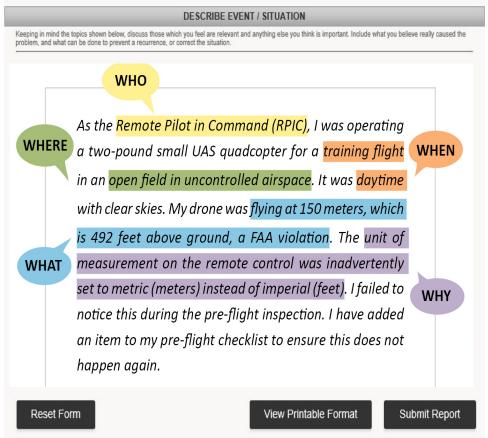


Tips for Writing Your Story

Example: Insufficient information

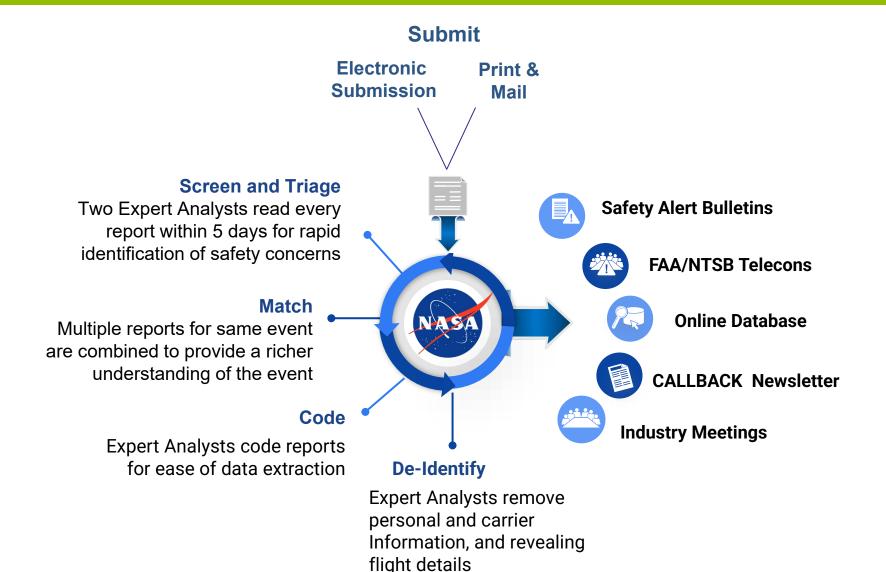


Example: Excellent information





The Life of an ASRS Report



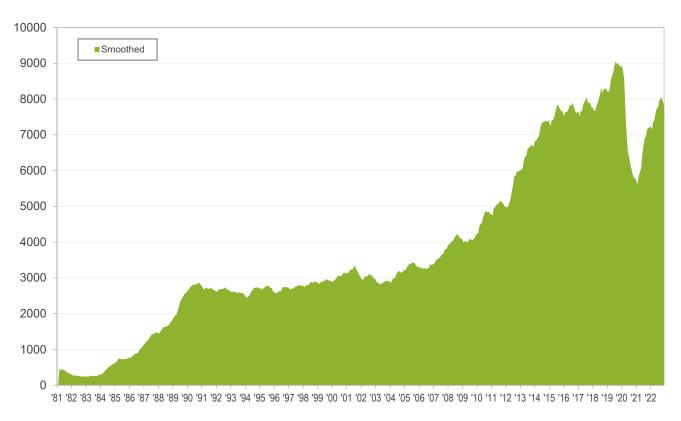




Report Intake Metrics

Monthly Report Intake

(January 1981 – December 2022)



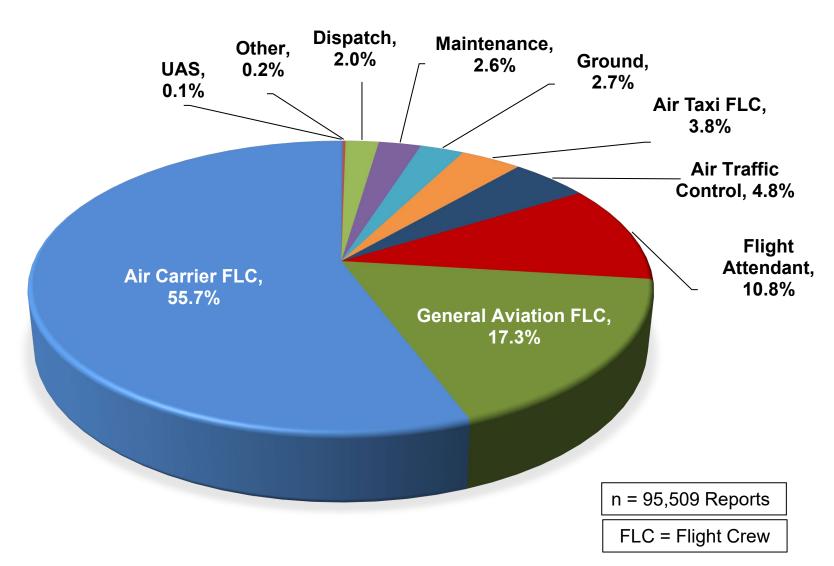
- Total Program ReportIntake = 1,962,697
- Total Report Intake for 2022 = 95,509
- Averaging 7,959 reports per month, 387 per working day





Incident Reporter Distribution

January 2022 – December 2022







ASRS Safety Products





ASRS Products & Services



ALERT MESSAGES

Safety information issued to organizations in positions of authority for evaluation and possible corrective actions.



QUICK RESPONSES

Rapid data analysis by ASRS staff on safety issues with immediate operational importance generally limited to government agencies.



ASRS DATABASE

The public ASRS
Database Online and
data available in
Database Report Sets
or Search Requests full
filled by ASRS staff.



*CALLBACK*NEWSLETTER

Monthly newsletter with a lessons learned format, available via website and email.

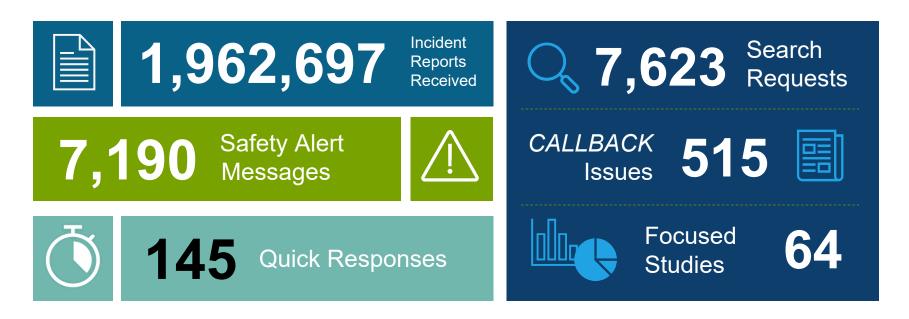


FOCUSED STUDIES

Studies/Research conducted on safety topics of interest in cooperation with aviation organizations.



ASRS Products & Services Metrics



(April 1976 – December 2022)





Alert Messages





Alerts and Safety Telecon Topics Generated from GA Business Reports

- Cirrus SF50 Inflight Dual Fuel Valve Failure
- Similar Sounding Fix Names -KENLN/KENLL
- Cessna C650 Inflight Dual Inverter Failure
- Airborne Conflicts near TPA Class B Airspace
- SQL ATCT Staffing
- Diamond DA40 Cabin Door Issues

- BIH Ramp Markings
- MIA FROGZ3 STAR
- LAX Airport Taxiway Markings,
 Signage, and Charting
- EMB 550 Ground Cooling Fan Issues
- Cirrus SR22 Landing Gear Strut Fairing Failure
- MIA Ramp Markings
- Airborne Conflicts Involving Paraglider Activity



ASRS GA/Business Alert Message – LAX Airport Taxiway Markings, Signage, and Charting



1/19/2029 FOR YOUR INFORMATION

2023-8/5-2

To: Airport Manager, Los Angeles Int'l (LAX), CA, FAA (AAS-1), Jeppesen Sanderson Inc.

Info: FAA (AFS-200, AVP-1, AVP-200, AAS-300, AJV-A, AWP-600, AFS-260, AFS-400, AJI
-144, Runway Safety Team), ATSG, AFA, ALPA, IFALBA, ARA, ARA, ASAD, A4A

IATA, CAPA, ICAO, ICASS, IPA, NTSB, RAA, SWAPA

From: Becky L. Hooey, Director NASA Aviation Safety Reporting System

Re: LAX Airport Taxiway Markings, Signage, and Charting

We recently received ASRS reports describing a safety concer optrational responsibility. We do not have sufficient details to ass possible gravity of the report. It is our policy to relay the reports authority for evaluation and any necessary follow-up. We feel you designified report.

To properly assess the usefulness of our alert message sen

have provided. Please contact Dr. Becky Hooey at (408) 541

1/19/2023

FOR YOUR INFORMATION

2023-8/5-2

1951947

To: Airport Manager, Los Angeles Int'l (LAX), CA, FAA (AAS-1), Jeppesen

Sanderson Inc.

Info: FAA (AFS-200, AVP-1, AVP-200, AAS-300, AJV-A, AWP-600, AFS-260, AFS-400, AJI

-144, Runway Safety Team), ATSG, AFA, ALPA, IFALPA, APA, APFA, ASAP, A4A,

IATA, CAPA, ICAO, ICASS, IPA, NTSB, RAA, SWAPA

From: Becky L. Hooey, Director

NASA Aviation Safety Reporting System

Re: LAX Airport Taxiway Markings, Signage, and Charting



Aviation Safety Reporting System
P.O. Box 189 | Moffett Field, CA | 94035-0189





ASRS GA/Business Alert Message – LAX Airport Taxiway Markings, Signage, and Charting



Response – Corrective Action

On January 25, 2023 we received an email from an **LAX airport representative** concerning alert item 2023-8/5-2. The email stated the following:

In response to the attached ASRS Safety report #1951947, we have reviewed the incident/recommendations and have determined the following. It appears that the pavement being referenced is the area depicted in the aerial picture below. This pavement is part of the Sepulveda tunnel system and cannot be removed. The area has been painted green and there is a yellow "X" painted on it to distinguish it from active movement areas. Additionally, there are edge and shoulder markings painted south of Runway 07R/25L to ensure the pavement is not mistaken for an active taxiway. There are also no lead-off lines from the runway to this area. While this area meets standards, we understand that in inclement weather markings may be difficult to identify. For this reason, we will be refreshing and enlarging the painted "X" on this pavement. We will also be relocating it closer to the runway edge to ensure that it is not mistaken for an active movement area in the future.

Thank you for bringing this to our attention and please let us know if there any additional comments or concerns regarding this area.







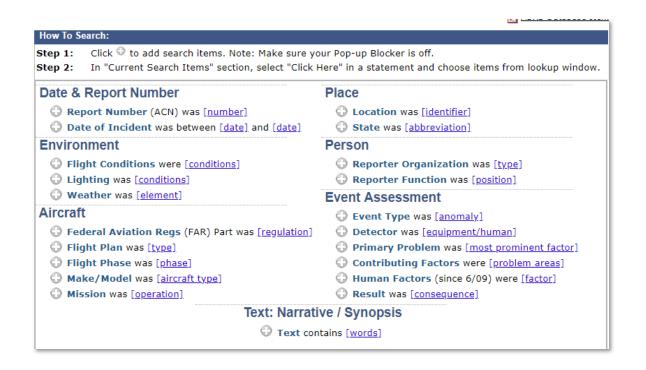
https://asrs.arc.nasa.gov/





ASRS Database Online User Interface

Direct access to search de-identified reports in the ASRS database is available through **ASRS Database Online (DBOL)**









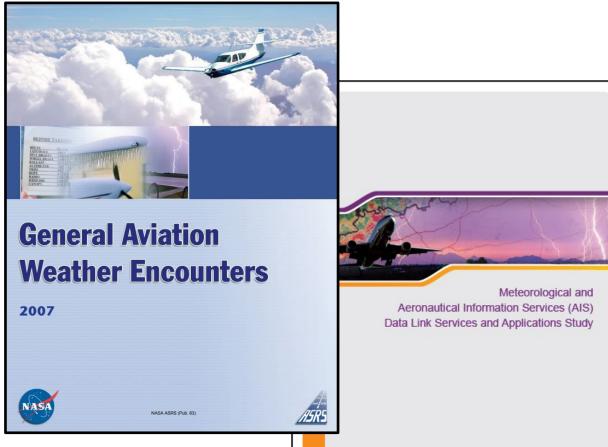


Publications / Studies on ASRS Website



Click on: ASRS Research Papers

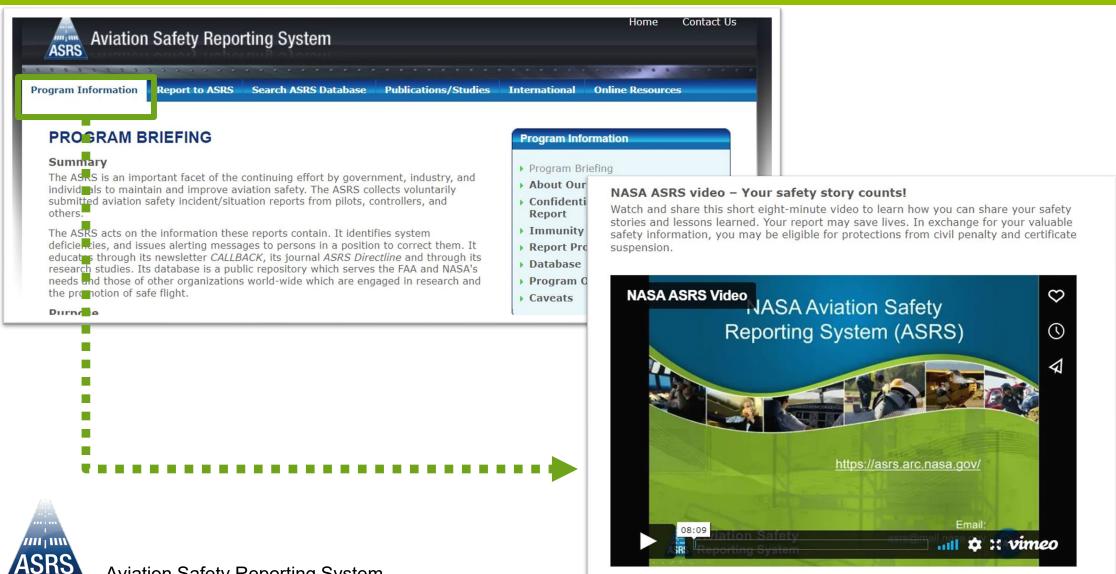
Research Studies







1. Ask your students to watch the 8-minute Webinar





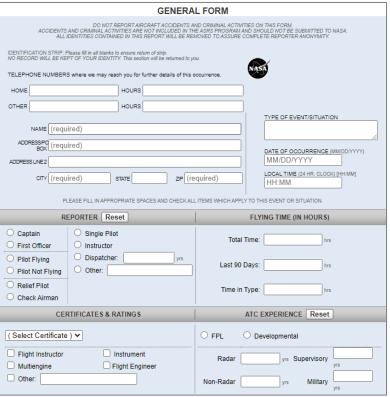
2. Teach your students how to report online

How do they report to NASA ASRS and what happens next?

Report directly to ASRS at: https://asrs.arc.nasa.gov

Watch for a NASA envelope in the U.S. Mail containing their ID Strip, retain this in a safe place

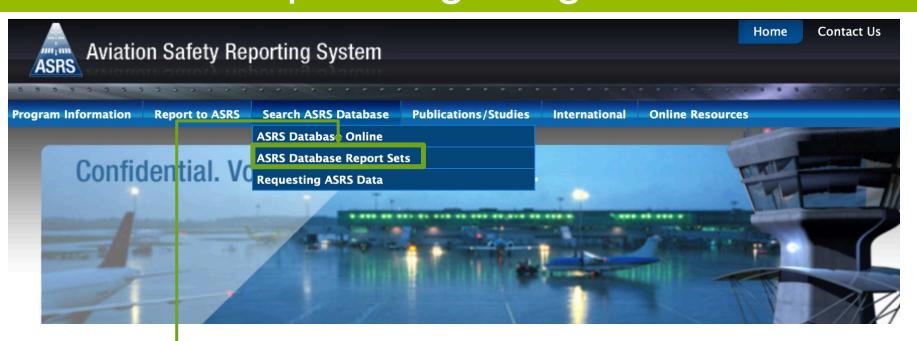








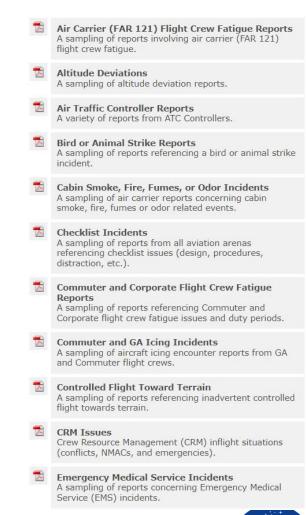
3. Have students search the database before going to a new airport or getting checked out in an aircraft



Report Sets
with 50 most
recent reports

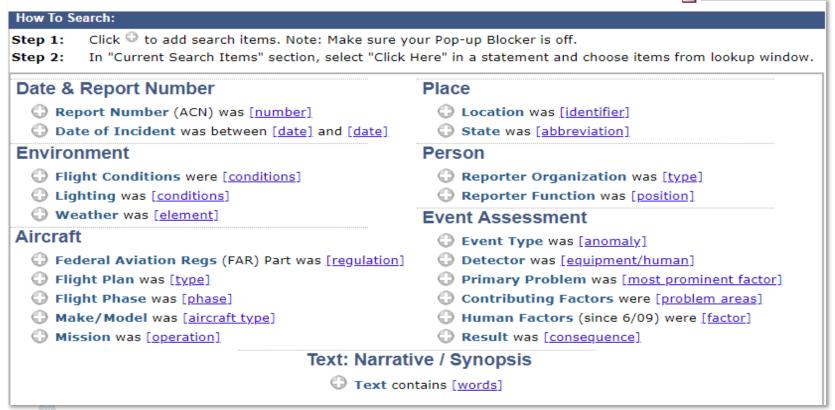
Over 25 prepared ASRS Data Report Sets Topics such as:

- Commuter and GA Icing Incidents
- Inflight Weather Encounters
- UAS Reports



3. From the database search they can read lessons learned from other pilots

Direct access to search de-identified reports in the ASRS database is available through **ASRS Database Online (DBOL)**



Sample Searches:

FAR Part:

Part 91

Place →Location:

DAB (Daytona Beach International Arpt)

Event:

Conflict->Airborne Conflict
Conflict>Ground Conflict
Inflight Event/Encounter->CFTT/CFIT





4. Sign up for Safety Newsletters

- **CALLBACK** is a monthly publication distributed by email.
- Subscription is free and available via the ASRS website.



34,700+

Total number of email subscribers for 2022



457,670+

CALLBACK views for 2022 (HTML and PDF)





vortices trailing behind aircraft in flight, which are generated when aircraft produce lift. During flight, all aircraft generate wake turbulence, while the strongest vortices are formed when aircraft are heavy, clean, and slow. With consequences ranging from minor to disastrous, an aircraft's wake turbulence may be encountered during any phase of flight.

FAA recently updated wake separation tables to "recategorize the existing fleet of aircraft and modify the associated wake turbulence separation minima."1 Nine aircraft wake turbulence categories are now established and derived from wake formance data for each aircraft type rather than legacy weight-based data. Each aircraft type falls into one of the nine categories, and in a flight scenario, leaders and followers are designated by the wake categories of the aircraft involved

The work is detailed, but pilots may obtain more information from FAA Order JO 7110.126B, Consolidated Wake Turbulence,1 the Aeronautical Information Manual,2 or FAA. Order JO 7110.65Z, Air Traffic Control.3 NASA ASRS also conducts an ongoing special study on wake turbulence encounters and provides a link to that Database Report Set

This month, CALLBACK shares wake turbulence reports that feature aircraft from multiple wake turbulence categories during different phases of flight. Common threats are

Calm and Collected for Takeoff

In calm winds behind a larger aircraft, this CRJ-900 Captain experienced strong wake turbulence. The uncommanded attitude deviation and recovery inputs are compelling.

■ On a calm, clear day taking off behind an A319 with winglets, we were cleared for takeoff just as they rotated. We were fairly heavy with tanker fuel, so we rotated at about the same point down the runway. Between 500 and 800 feet AGL, we began to quickly bank to the right and ended up at approximately 50 degrees of bank. I immediately unloaded the aircraft with nose down force while continuously increasing left aileron. I pushed the thrust levers into Automatic Power Reserve thrust and turned on the continuous ignition... during this maneuver. We recovered about 5 to 8 seconds after initial indications, notified ATC,

flight attendants to verify that no one was injured She said that no one seemed to notice. No aircraft damage was found. [We had] calm air, a heavy aircraft, and close separation.

Minimum Separation With a Twist

A B737-800 pilot encountered substantial wake turbulence departing LAX while trailing an aircraft of the same weight class and wake category. A throwback solution is suggested.

[We were] cleared to take off from LAX on Runway 25R. [The preceding] A321 had not even lifted off. After liftoff, we flew into their wake as we entered the overcast at 700 feet. It rolled us uncontrollably to the right and took full left [aileron deflection to regain control. It happened again at approximatel Because we are a [Category C weight class] aircraft, [ATC] is allowed to anticipate the preceding aircraft's liftoff and clear us to take off. They are legal because [the A321] was not a Heavy. Additionally, we were doing the ORCKA THREE Departure, and [the A321] was doing the LAXX ONE Departure. Their initial turn over the shoreline was to 221 [degrees] and ours was to 236 [degrees]. Conveniently we were 15 degrees apart, which also allowed them to clear us to takeoff as the preceding aircraft was lifting off.

Climb, Interrupted

Approaching the top of climb, this B737 Captain experienced wake turbulence resulting in a minor injury Clues had existed that pointed to the likely wake event.

 During cruise climb to 38,000 feet and passing 36,000 feet, the flight encountered unexpected wake turbulence... Climbing at .77 Mach with a pitch attitude of approximately 7 degrees nose up and the autopilot engaged, the aircraft suddenly shuddered and went into a right bank of approximately 25 degrees. I immediately disconnected the autopilot and rolled wings level with a pitch attitude on the horizon. As the aircraft was climbing, we did not lose altitude, but rate of climb was reduced to near zero. The climb was then continued to 38,000 feet. Bank limits were not exceeded and the aircraft was not over stressed. I called the Purser and was informed that everything was fine in the cabin. Subsequently, I learned that a flight attendant in the

Scan to Sign Up





4. Sign up for Safety Newsletters

In addition to CALLBACK, an Unmanned Aircraft System (UAS) specific publication is distributed by email. Subscription to UAS Safety In Sight is free and available via the ASRS website.



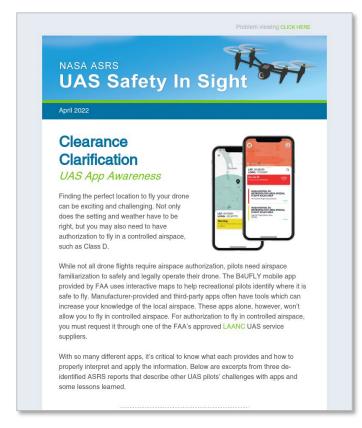
155+

Total number of email subscribers for 2022



6 Issues

Published to date



Sign up for UAS Safety in Sight email today!

Stay connected to find out more about the new ASRS UAS report form and emerging UAS safety topics.







5. Try "What Would You Have Done" Scenarios



- Present a "The First Half of the Story" of an ASRS report excerpt describing an event up to a point where a specific decision must be made, an immediate action must be taken, or a non-normal situation must be actively managed.
- Ask student to exercise your own judgment to make a decision, determine a possible course of action, or devise a plan that might best resolve the situation.
- ASRS

Prior issues of ASRS CALLBACK found online have examples



- The weather briefing advised my route was VFR and forecast to be so until around 0300 local time.... Enroute the weather quickly deteriorated
- I noticed larger cumulonimbus clouds forming around me and a thick cloud deck forming in front of me
- I opted to drop my altitude to 3,500 feet to maintain VFR cloud clearance and duck below it. Once the weather opened up I decided to climb to 5,000 feet to get a better look at what was going on around me



- I saw that the weather was worse than I thought. It was solid IMC everywhere and deteriorating rapidly....
- I started checking different enroute weather services to get a picture of what was going on
- At this point I had 1.3 hours of fuel left and realized that any airport within range was heavy IMC.... I decided to continue towards [my home airport].... I maintained 1,000 feet above the cloud deck for some form of traffic separation, but could not see the ground as it was a solid [layer]





- Shortly after, both NAV 1 and NAV 2 failed, but DME was still operational. I
 then attempted to call Center for vectors and help, but to no avail.
- It appeared my radio could receive but not transmit. I then attempted to navigate via my iPad, but the battery died shortly after
- At this point the weather had gotten so poor that flying through clouds became unavoidable. I was in complete IMC.





- I executed an emergency 180 using my turn coordinator and my stopwatch and held that heading for a few minutes
- Realizing it wasn't improving, I decided to climb to get above the deck once again for traffic separation. I climbed for approximately 20 minutes and popped out above the deck at 10,000 feet.
- It was solid overcast as far as the eye could see.... At this point, I was very disoriented as to where I was, and had no way to call for help.





The Rest of the Story - The Reporter's Actions

- Using my DME, I determined where I was relative to the tuned VOR/DME by flying different headings and observing the DME's reaction
- On my sectional chart I drew a line straight from the VOR, and determined I was roughly six miles south of [the departure airport]
- I then turned direct north and held this heading for 10 more minutes to get far north of the field where I knew there were no obstacles while descending from 10,000 down to 2,000





The Rest of the Story - The Reporter's Actions

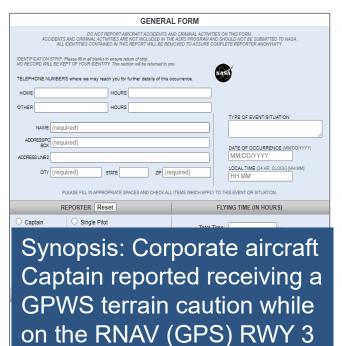
- Once [my passenger's] cell phone got signal, I pulled up a computerized satellite map and used that to line myself up with the runway coming from the north
- I maintained a slow but steady descent as I continued essentially a poor man's GPS approach
- I broke through the clouds at roughly 1,200 feet AGL and landed.





6. Explain how reports can contribute to safety

Report Submitted to ASRS

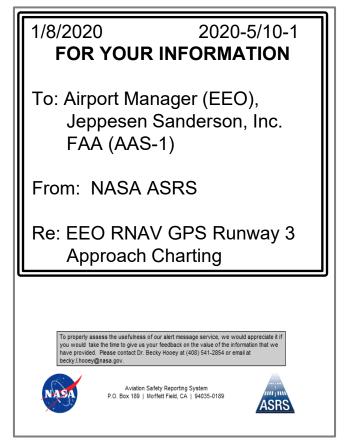


approach to EEO airport.

Charting issues were cited

as contributing to the event

ASRS Issued Safety Alert



→ Corrective Action

<u>Jeppesen Response:</u> This one was very interesting and fortunately, I had a number of people here to help me understand AND get some improvements to our charts.

In first order, we have <u>correctly charted</u> the information on the RNAV (GPS) Rwy 3 Instrument Approach Procedure Chart according to the FAA Source Form 8260. Just so you know, there have been a number of source provided changes since AMDT 3A (2014); we are all at 3D now.

That said, in our evaluations, we have decided that all the charts at Meeker qualify for contours. So similar to the 7000 foot contour 'ridge' that is shown on the FAA chart, you will now see that terrain contouring on our charts (and we will keep that 7754 foot high point, too). Additionally, we are now adding (according to source) due to newer charting specifications the note: 34:1 is not clear. Due to this ASRS [Alert], I did ask that Chart Change Notice go out (last week).

6. Explain how reports can contribute to safety

ASRS Safety Alert – FCH PAPI Out of Service

FCH PAPI Out of Service (ACN 1569882)

GA pilot reported the approach for Runway 30 at FCH is unsafe because the PAPI lights are non-operational.

- "This report is submitted to address an unsafe airport condition at the Fresno Chandler Airport (FCH) Fresno, California."
- "A PAPI system has been installed for runway 30 to insure obstacle clearance from residential trees on the approach end of runway 30."
- Recently the FAA Flight Inspection shut down the PAPI system at Chandler due to one tree protruding into the approach path of the PAPI light system area.
- Flight Inspection needs to inspect and evaluate system for proper operation and clearance and place it back in service immediately in order to mitigate the risk

The FAA Fresno FSDO responded stating: This letter is in response to Aviation Safety Reporting System (ASRS) (ACN: 1569882)

...The City of Fresno removed several trees to get this issue corrected and once this was accomplished notified the FAA Flight Inspection Team for a recertification. ... the FAA Flight Inspection Team returned and approved the PAPI System. ...the PAPI lighting System was put back into service.



7. Help us spread the word about NASA ASRS



- Include ASRS in your FBO and CFI aviation training programs and curriculum - ASRS can provide a slide or one-page handout
- Encourage your students to report any and all safety concerns they experience or witness, including:
 - Violations
 - Hazards
 - Mistakes / slips / errors
 - Confusion and misunderstanding
 - Equipment, chart, app issues
- Subscribe to CALLBACK and/or UAS Safety in Sight (for drone operators) and share these newsletters freely
 - Encourage your students to subscribe too!
- Explore our database for teaching material, lessons-learned, and research



Thank You!

Additional Information & Resources

- ✓ Confidentiality & Incentives to Report https://asrs.arc.nasa.gov/overview/confidentiality.html
- ✓ Immunity Policies https://asrs.arc.nasa.gov/overview/immunity.html
- ✓ Requesting ASRS Data https://asrs.arc.nasa.gov/search/requesting.html





Questions?



