August 1, 2022

# **Advisory to Operators of Civil Uncrewed Aircraft Systems in the United States**

## INTRODUCTION

The use of small civil unmanned operating systems (sUAS) is growing rapidly, with changes happenning on a nearly daily basis. In particular, the Federal Aviation Administration (FAA) and the Department of Transportation's Office of the Secretary issued the final rule on the operation and certification of small, unmanned aircraft systems<sup>1</sup> and the FAA recently issued a "blanket Certificate of Waiver or Authorization (COA)" for commercial Section 333<sup>2</sup> and Public Aircraft operators.

FAA authorizations for UAS operation direct UAS pilots and operators to provide notification to the FAA in the event that any of a series of enumerated occurrences takes place during the operation of a UAS. Included in these instructions are reminders that the FAA procedures "are not a substitute for separate accident/incident reporting required by the National Transportation Safety Board (NTSB) under title 49 *Code of Federal Regulations (CFR)* section 830.5." By means of this Advisory, the NTSB reminds operators of any civil UAS, other than those operated for hobby or recreational purposes, of the NTSB's accident and incident reporting requirements in title 49 *CFR* section 830.

### **BACKGROUND**

In August 2010, the NTSB revised its Part 830 regulations to clarify that its accident and incident notification requirements apply to unmanned aircraft as well as conventional manned aircraft.<sup>3</sup> Section 830.5 instructs operators of civil aircraft and certain public aircraft to immediately, and by the most expeditious means available, notify the NTSB when an accident or listed incident occurs.

An accident **will** result in the NTSB's initiating an investigation and report with a determination of probable cause. In order to minimize the burden on operators of a small UAS and the NTSB, we have exempted from the definitions of "aircraft accident" and "unmanned aircraft accident" in section 830.2 of the NTSB regulations those events in which there is only substantial damage to the aircraft (no injuries), and the aircraft holds an airworthiness certification.

Although any of the incidents enumerated in section 830.5 would require the operator to notify the NTSB, the agency at its discretion may decide to conduct a full investigation with probable cause.

<sup>1</sup> See 81 Fed. Reg. 42063 (June 28, 2016).

<sup>2</sup> Section 333 of the FAA Modernization and Reform Act of 2012 provides that "[i]f the Secretary of Transportation determines that ... certain unmanned aircraft systems may operate safely in the national airspace system, the Secretary shall establish requirements for the safe operation of such aircraft systems in the national airspace system."

<sup>3 75</sup> Fed. Reg. 51955 (August 24, 2010).

# **REQUIREMENTS**

A civil UAS operator must immediately and by the most expeditious means, notify the NTSB of an accident or incident. An unmanned aircraft accident is defined in 49 *CFR* section 830.2 as an occurrence associated with the operation of any public or civil unmanned aircraft system that takes place be-tween the time that the system is activated with the purpose of flight and the time that the system is deactivated at the conclusion of its mission, in which:

- (1) Any person suffers death or serious injury; or
- (2) The aircraft holds an airworthiness certificate or approval and sustains substantial damage.

Section 830.2 also provides definitions of what constitutes "serious injury" and "substantial damage".

Operators must consider that the rest of the reporting requirements for serious incidents listed in section 830.5 apply regardless of UAS airworthiness certification. Listed serious incidents that apply to all UAS include the following events:

- Flight control system malfunction or failure: For an uncrewed aircraft, a true "fly-away" would qualify. A lost link that behaves as expected does not qualify.
- Inability of any required flight crewmember to perform normal flight duties as a result of injury or illness. Examples of required flight crewmembers include the pilot, remote pilot; or visual observer if required by regulation. This does not include an optional payload operator.
- Inflight fire, which is expected to be generally associated with batteries.
- Aircraft collision in flight.
- More than \$25,000 in damage to objects other than the aircraft.
- Release of all or a portion of a propeller blade from an aircraft, excluding release caused solely by ground contact.
- Damage to helicopter tail or main rotor blades, including ground damage, that requires major repair or replacement of the blade(s).
- An aircraft is overdue and is believed to have been involved in an accident.

# **EXAMPLES**

Below are examples of potential events.

- A small multirotor UAS has a fly-away and crashes into a tree, destroying the aircraft: Not an
  Accident, (though substantial damage, too small, and no injuries), but the operator is required
  to notify the NTSB of a flight control malfunction. NTSB *may* initiate an investigation and report
  with a determination of probable cause.
- A small multirotor UAS has a fly-away and strikes a bystander causing serious injury: Accident (resulted in serious injury). The operator is required to immediately notify the NTSB. The NTSB must investigate the accident and determine a probable cause.
- A small multirotor UAS hits a tree due to pilot inattention on a windy day: Not an Accident (too small, even if substantial damage). However, the operator is required to notify the NTSB if other criteria of section 830.5 are met. NTSB *may* initiate an investigation and report with a determination of probable cause.
- An experimental UAS with an airworthiness certificate has a structural failure and crashes in a remote area: Accident (substantial damage and aircraft holds an airworthiness certificate). The operator is required to immediately notify the NTSB. NTSB *mus*t investigate and determine a probable cause.

We would also like to remind uncrewed aircraft operators that none of Part 830 is intended to apply to hobbyist or recreational operators as described in section 336 of the FAA Modernization and Reform Act of 2012<sup>4</sup> and applicable FAA guidance.

We hope this advisory serves as a useful reminder to the UAS community that the NTSB remains committed to performing its long-standing mission to support air safety through accident and incident investigation, while placing a minimum burden on this growing industry.

For further information or questions, you may contact:

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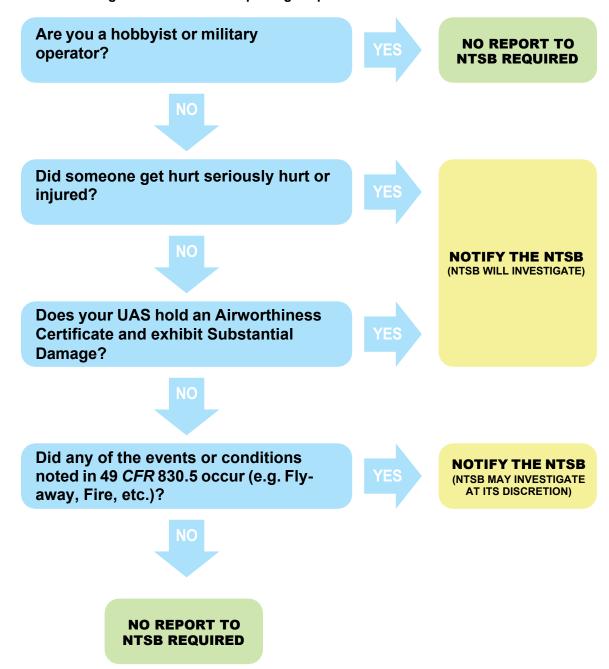
<sup>4</sup> Section 336(c) states that the term the term "model aircraft" means an unmanned aircraft that is—

<sup>(1)</sup> capable of sustained flight in the atmosphere;

 $<sup>\</sup>ensuremath{\text{(2)}} \ \text{flown within visual line of sight of the person operating the aircraft; and}$ 

<sup>(3)</sup> flown for hobby or recreational purposes.

Figure 1 - Determining UAS Occurrence Reporting Requirements to NTSB5



<sup>5</sup> Figure 1 applies to any uncrewed aircraft operated under Part 107, 333, civil COA, experimental certificate, etc. UAS operators should note that they may have additional reporting requirements to the FAA, military, or other government agencies depending on the applicable regulations under which they are operating.